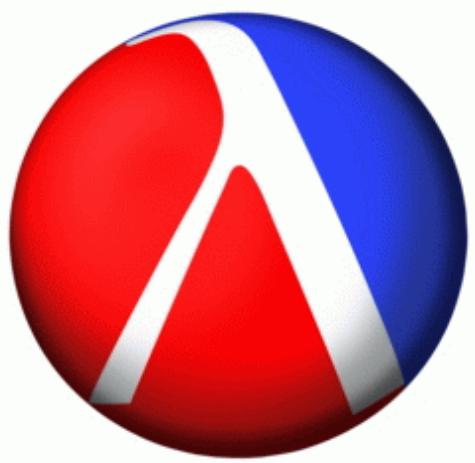


# **Scheme-Style Macros: Patterns and Lexical Scope**

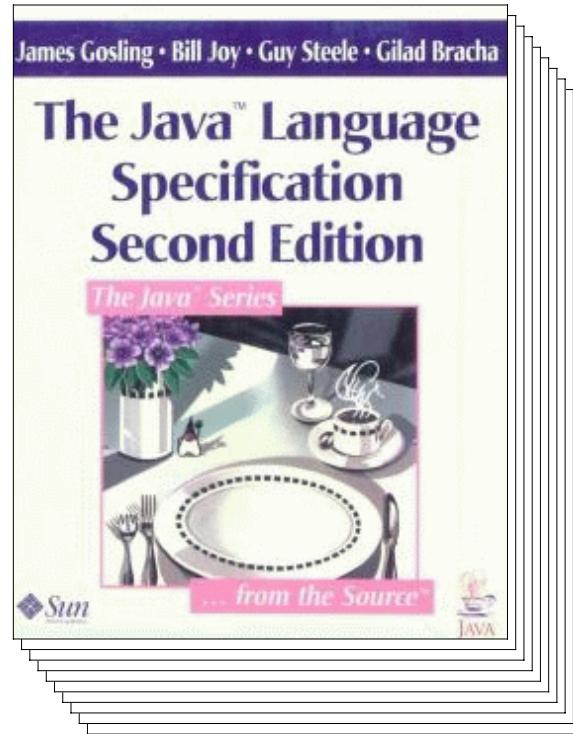


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# Why Macros?

Language designers have to stop somewhere



(544 pages)

No language can provide every possible useful construct

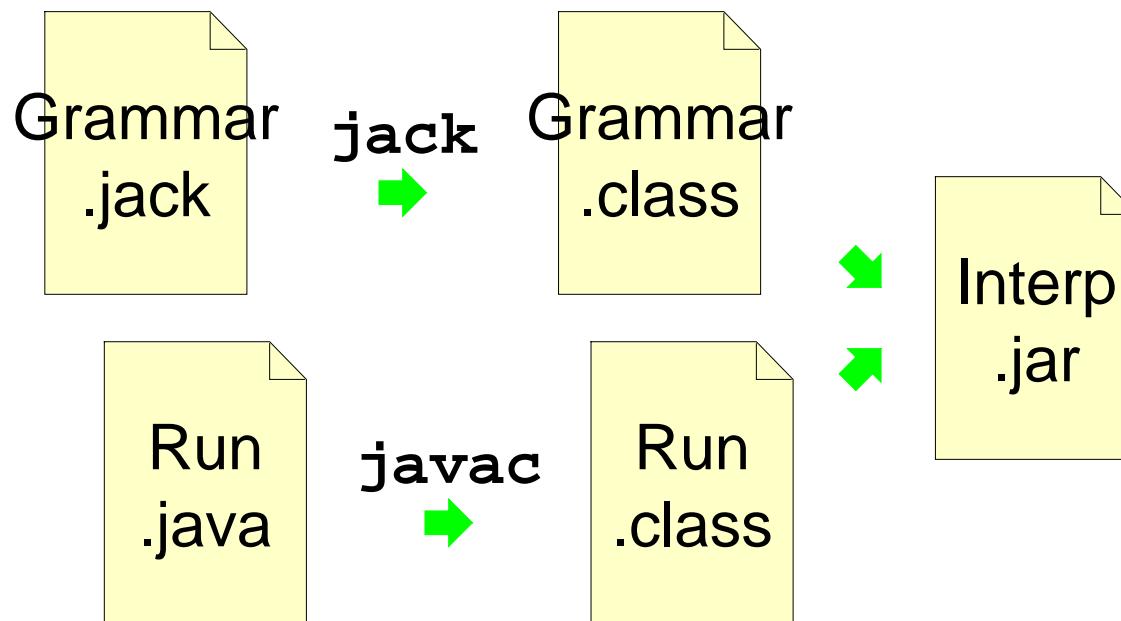
Macros let a programmer fill in gaps

# Macros versus Arbitrary Program Generators

Macros extend the language without extending the tool chain

---

Jack (YACC for Java) requires a new tool chain:



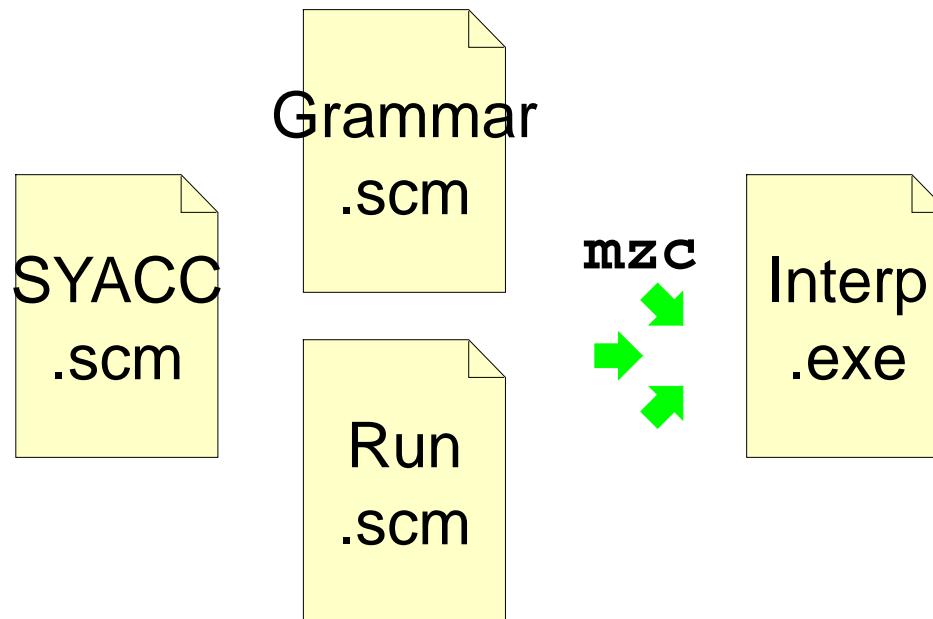
⇒ Jack doesn't play nice with all Java environments

# Macros versus Arbitrary Program Generators

Macros extend the language without extending the tool chain

---

Scheme-YACC is a macro:



⇒ SYACC automatically plays nice with all Scheme environments

... in principle

# Macros and Libraries

- Macros = hook in tool chain to extend a language

Scheme ensures that macros play nice with the tool chain

- Some libraries include macros

Scheme ensures that library macros play nice with each other

- **Macros In General**
- **Pattern-Based Macros**
  - Scheme macro basics
- **Extended Example**
- **Lexical Scope**
- **General Transformers**
- **State of the Art**

# Pattern-Based Macros

Most popular API for macros: *patterns*

```
#define swap(x, y) (tmp=y, y=x, x=tmp)

swap(c.red, d->blue)
⇒
(tmp=d->blue, d->blue=c.red, c.red=tmp)
```

- + Relatively easy for programmers to understand
- + Obvious hook into the tool chain
- Pure patterns can't easily express much
  - ...but possibly more than you think

# Scheme Macro Basics

```
(define-syntax swap  
  )
```

- **define-syntax** indicates a macro definition

# Scheme Macro Basics

```
(define-syntax swap
  (syntax-rules ( )
    (cloud)))
```

- **syntax-rules** means a pattern-matching macro
- **( )** means no keywords in the patterns

# Scheme Macro Basics

```
(define-syntax swap
  (syntax-rules ()
    (pattern template)
    ...
    (pattern template) )))
```

- Any number of *patterns* to match
- Produce result from *template* of first match

# Scheme Macro Basics

```
(define-syntax swap
  (syntax-rules ()
    ( (swap a b) ( )))))
```

- Just one pattern for this macro: (**swap** *a* *b*)
  - Each identifier matches anything in use

( swap x y )  $\Rightarrow$  a is x  
b is y

( swap 9 (+ 1 7) )  $\Rightarrow$  a is 9  
b is (+ 1 7)

# Scheme Macro Basics

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b)) (let ((tmp b))
      (set! b a)
      (set! a tmp))))
```

- Bindings substituted into template to generate the result

```
(swap x y)      ⇒ (let ((tmp y))
                      (set! y x)
                      (set! x tmp))
```

```
(swap 9 (+ 1 7)) ⇒ (let ((tmp (+ 1 7)))
                        (set! (+ 1 7) 9)
                        (set! 9 tmp))
```

# Lexical Scope

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let ((tmp b))
                  (set! b a)
                  (set! a tmp))))))
```

- What if we **swap** a variable named **tmp**?

(let ((tmp 5) (other 6))) (swap tmp other))	?	(let ((tmp 5) (other 6)) (let ((tmp other)) (set! other tmp) (set! tmp tmp))))
---	---	--

# Lexical Scope

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let ((tmp b))
                  (set! b a)
                  (set! a tmp)))))
```

- What if we **swap** a variable named **tmp**?

(let ((tmp 5) (other 6))) (swap tmp other))	?	(let ((tmp 5) (other 6)) (let ((tmp other)) (set! other tmp) (set! tmp tmp))))
---	---	--

*This expansion would violate lexical scope*

# Lexical Scope

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let ((tmp b))
                  (set! b a)
                  (set! a tmp)))))
```

- What if we **swap** a variable named **tmp**?

(let ((tmp 5) (other 6)) (swap tmp other))	⇒ (let ((tmp 5) (other 6)) (let ((tmp <sub>1</sub> other)) (set! other tmp) (set! tmp tmp <sub>1</sub> ))))
--	---

Scheme renames the introduced binding

*Details later...*

# Lexical Scope: Local Bindings

Lexical scope means that local macros work, too:

```
(define (f x)
  (define-syntax swap-with-arg
    (syntax-rules ()
      ((swap-with-arg y) (swap x y)))))

(let ((z 12)
      (x 10))
  ; Swaps z with original x:
  (swap-with-arg z))
)
```

*Details later...*

# Matching Sequences

Some macros need to match sequences

(rotate x y)

(rotate red green blue)

(rotate front-left  
rear-right  
front-right  
rear-left)

# Matching Sequences

```
(define-syntax rotate
  (syntax-rules ()
    ((rotate a) (void))
    ((rotate a b c ...) (begin
                           (swap a b)
                           (rotate b c ...))))))
```

- `...` in a pattern: multiple of previous sub-pattern

`(rotate x y z w)`  $\Rightarrow$  `c` is `z w`

- `...` in a template: multiple instances of previous sub-template

`(rotate x y z w)`  $\Rightarrow$  `(begin`  
`(swapp x y)`  
`(rotate y z w))`

# Matching Sequences

```
(define-syntax rotate
  (syntax-rules ()
    ((rotate a c ...)
     (shift-to (c ... a) (a c ...))))))
```

```
(define-syntax shift-to
  (syntax-rules ()
    ((shift-to (from0 from ...) (to0 to ...))
     (let ((tmp from0))
       (set! to from) ...
       (set! to0 tmp))))))
```

- ... maps over same-sized sequences
- ... duplicates constants paired with sequences

# Identifier Macros

The **swap** and **rotate** names work only in an "application" position

```
(swap x y) ⇒ (let ((tmp y)) (+ swap 2) ⇒ syntax error
```

An *identifier macro* works in any expression position

```
clock ⇒ (get-clock)  
(+ clock 10) ⇒ (+ (get-clock) 10)  
(clock 5) ⇒ ((get-clock) 5)
```

...or as a **set!** target

```
(set! clock 10) ⇒ (set-clock! 10)
```

# Identifier Macros

```
(define-syntax clock
  (syntax-id-rules (set!)
    ((set! clock e) (put-clock! e))
    ((clock a ...) ((get-clock) a ...))
    (clock (get-clock)))))
```

- **set!** is designated as a keyword
- **syntax-rules** is a special case of **syntax-id-rules** with errors in the first and third cases

# Macro-Generating Macros

If we have many identifiers like `clock`...

```
(define-syntax define-get/put-id
  (syntax-rules ()
    ((define-get/put-id id get put!)
     (define-syntax id
       (syntax-id-rules (set!)
         (((set! id e) (put! e))
          ((id a (... ...)))
          ((get) a (... ...)))
         (id (get))))))
    (define-get/put-id clock get-clock put-clock!)))
```

- `(... ...)` in a template gets replaced by ...

- **Macros In General**
- **Pattern-Based Macros**
- **Extended Example**
  - Using patterns and macro-generating macros
- **Lexical Scope**
- **General Transformers**
- **State of the Art**

## Extended Example

Let's add call-by-reference definitions to Scheme

```
(define-cbr (f a b)
             (swap a b))
```

```
(let ((x 1) (y 2))
  (f x y)
  x)
; should produce 2
```

## Extended Example

Expansion of first half:

```
(define-cbr (f a b)
  (swap a b))
```

⇒

```
(define (do-f get-a get-b put-a! put-b!)
  (define-get/put-id a get-a put-a!)
  (define-get/put-id b get-b put-b!)
  (swap a b))
```

## Extended Example

Expansion of second half:

```
(let ((x 1) (y 2))  
  (f x y)  
  x)
```

⇒

```
(let ((x 1) (y 2))  
  (do-f (lambda () x)  
        (lambda () y)  
        (lambda (v) (set! x v))  
        (lambda (v) (set! y v))))  
x)
```

# Call-by-Reference Setup

How the first half triggers the second half:

```
(define-syntax define-cbr
  (syntax-rules ()
    ((_ (id arg ...) body)
     (begin
       (define-for-cbr do-f (arg ...)
                     () body)
       (define-syntax id
         (syntax-rules ()
           ((id actual (.... . .))
            (do-f (lambda () actual)
                  (.... . .)
                  (lambda (v)
                    (set! actual v)))
                  (.... . .)))))))))))
```

# Call-by-Reference Body

Remaining expansion to define:

```
(define-for-cbr do-f (a b)
  () (swap a b))
```

⇒

```
(define (do-f get-a get-b put-a! put-b!)
  (define-get/put-id a get-a put-a!)
  (define-get/put-id b get-b put-b!)
  (swap a b))
```

How can **define-for-cbr** make **get-** and **put-!** names?

# Call-by-Reference Body

A name-generation trick:

```
(define-syntax define-for-cbr
  (syntax-rules ()
    ((define-for-cbr do-f (id0 id ...))
     (gens ...) body)
    ((define-for-cbr do-f (id ...))
     (gens ... (id0 get put)) body)))
    ((define-for-cbr do-f ())
     ((id get put) ...) body)
    (define (do-f get ... put ...)
      (define-get/put-id id get put) ...
      body))))
```

# Call-by-Reference Body

More accurate description of the expansion:

```
(define-for-cbr do-f (a b)
  () (swap a b))
```

⇒

```
(define (do-f get1 get2 put1 put2)
  (define-get/put-id a get1 put1)
  (define-get/put-id b get2 put2)
  (swap a b))
```

# Complete Code to Add Call-By-Reference

```
(define-syntax define-cbr
  (syntax-rules ()
    ((_ (id arg ...) body)
     (begin
       (define-for-cbr do-f (arg ...)
                     () body)
       (define-syntax id
         (syntax-rules ()
           ((id actual (... ...))
            (do-f (lambda () actual)
                  (... ...)
                  (lambda (v)
                    (set! actual v))
                  (... ...)))))))))))
```

```
(define-syntax define-get/put-id
  (syntax-rules ()
    ((define-get/put-id id get put!))
    (define-syntax id
      (syntax-id-rules (set!)
        ((set! id e) (put! e))
        ((id a (... ...)))
        ((get) a (... ...)))
        (id (get))))))
  ))))
```

```
(define-syntax define-for-cbr
  (syntax-rules ()
    ((define-for-cbr do-f (id0 id ...)
                      (gens ...) body)
     (define-for-cbr do-f (id ...)
                      (gens ... (id0 get put)) body))
    ((define-for-cbr do-f ()
                      ((id get put) ...) body)
     (define (do-f get ... put ...)
            (define-get/put-id id get put) ...
            body)
     ))))
```

Relies on lexical scope and macro-generating macros

- Macros In General
- Pattern-Based Macros
- Extended Example
- Lexical Scope
  - Making it work
- General Transformers
- State of the Art

# Lexical Scope

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let ((tmp b))
                  (set! b a)
                  (set! a tmp))))))
```

- What if we **swap** a variable named **tmp**?

(let ((tmp 5) (other 6)) (swap tmp other))	⇒ (let ((tmp 5) (other 6)) (let ((tmp <sub>1</sub> other)) (set! other tmp) (set! tmp tmp <sub>1</sub> ))))
--	---

Scheme renames the introduced binding

# Reminder: Lexical Scope for Functions

```
(define (app-it f)
  (let ((x 12))
    (f x)))  
  
(let ((x 10))
  (app-it (lambda (y) (+ y x))))  
→
```

# Reminder: Lexical Scope for Functions

```
(define (app-it f)
  (let ((x 12))
    (f x)))  
  
(let ((x 10))
  (app-it (lambda (y) (+ y x))))  
→  
(let ((x 10))
  (let ((x 12))
    ((lambda (y) (+ y x)) x)))
```

*Bad capture*

# Reminder: Lexical Scope for Functions

```
(define (app-it f)
  (let ((x 12))
    (f x)))  
  
(let ((x 10))
  (app-it (lambda (y) (+ y x))))  
→  
(let ((x 10))
  (let ((z 12))
    ((lambda (y) (+ y x)) z)))
```

Ok with  $\alpha$ -rename inside `app-it`

# Reminder: Lexical Scope for Functions

```
(define (app-it f)
  (let ((x 12))
    (f x)))  
  
(let ((x 10))
  (app-it (lambda (y) (+ y x))))  
→  
(let ((x 10))
  (let ((z 12))
    ((lambda (y) (+ y x)) z)))
```

Ok with  $\alpha$ -rename inside `app-it`

But usual strategy must see the binding...

# Bindings in Templates

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let ((tmp b))
                  (set! b a)
                  (set! a tmp))))))
```

Seems obvious that `tmp` can be renamed

# Bindings in Templates

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let-one (tmp b)
                           (set! b a)
                           (set! a tmp)))))
```

- Rename `tmp` if

```
(define-syntax let-one
  (syntax-rules ()
    ((let-one (x v) body)
     (let ((x v)) body))))
```

# Bindings in Templates

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let-one (tmp b)
                           (set! b a)
                           (set! a tmp)))))
```

- *Cannot* rename `tmp` if

```
(define-syntax let-one
  (syntax-rules ()
    ((let-one (x v) body)
     (list 'x v body))))
```

Scheme tracks identifier introductions, then renames only as binding forms are discovered

# Lexical Scope via Tracking, Roughly

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let ((tmp b))
                  (set! b a)
                  (set! a tmp)))))
```

- Tracking avoids capture by introduced variables

```
(let ((tmp 5)
      (other 6))
  (swap tmp other)) ~⇒ (let ((tmp 5)
      (other 6))
  (let1 ((tmp1 other))
    (set!1 other tmp)
    (set!1 tmp tmp1)))
```

<sup>1</sup> means introduced by expansion

`tmp1` does not capture `tmp`

# Lexical Scope via Tracking, Roughly

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let ((tmp b))
                  (set! b a)
                  (set! a tmp)))))
```

- Tracking also avoids capture of introduced variables

```
(let ((set! 5)           ~ (let ((set! 5)
          (let 6))        ⇒      (let 6))
  (swap set! let))       (let1 ((tmp1 let))
                           (set!1 let set!)
                           (set!1 set! tmp1)))
```

`set!` does not capture `set!1`

`let` does not capture `let1`

# Precise Rules for Expansion and Binding

```
(let ((tmp 5)
      (other 6))
  (swap tmp other))
```

# Precise Rules for Expansion and Binding

```
(let ((tmp 5))          ⇒ (let ((tmp0 5))
    (other 6))           (other0 6)))
(swap tmp other))      (swap tmp0 other0))
```

When the expander encounters `let`, it renames bindings by adding a subscript

# Precise Rules for Expansion and Binding

```
(let ((tmp 5))          => (let ((tmp0 5))
                                (other 6))           (other0 6))
  (swap tmp other))      ( swap tmp0 other0) )
```

When the expander encounters `let`, it renames bindings by adding a subscript

If a use turns out to be `quoted`, the subscript will be erased

```
(let ((x 1))) => (let ((x1 1)) => (let ((x1 1))
                      'x)                  'x1)                'x)
```

# Precise Rules for Expansion and Binding

```
(let ((tmp 5)           ⇒ (let ((tmp0 5)
          (other 6))           (other0 6))
  (swap tmp other))      ( swap tmp0 other0) )

⇒ (let ((tmp0 5)
         (other0 6))
  (let1 ((tmp1 other0))
    (set!1 other0 tmp0)
    (set!1 tmp0 tmp1)))
```

Then expansion continues, adding superscripts for introduced identifiers

# Precise Rules for Expansion and Binding

```
(let ((tmp 5)           ⇒ (let ((tmp0 5)
          (other 6))           (other0 6))
  (swap tmp other))      ( swap tmp0 other0) )

⇒ (let ((tmp0 5)           ⇒ (let ((tmp0 5)
          (other0 6))           (other0 6))
  (let1 ((tmp1 other0))      (let1 ((tmp2 other0))
    (set!1 other0 tmp0)        (set!1 other0 tmp0)
    (set!1 tmp0 tmp1))))     (set!1 tmp0 tmp2)))
```

Again, rename for `let` – but only where superscripts match

# Precise Rules for Expansion and Binding

```
(let ((set! 5)
      (let 6)))
(swap set! let))
```

# Precise Rules for Expansion and Binding

```
(let ((set! 5))      ⇒ (let ((set!₀ 5)
        (let 6)))                  (let₀ 6)))
(swap set! let))      (swap set!₀ let₀))
```

# Precise Rules for Expansion and Binding

```
(let ((set! 5)           ⇒ (let ((set!₀ 5)
          (let 6)))                (let₀ 6)))
  (swap set! let))           (swap set!₀ let₀))

⇒ (let ((set!₀ 5)
         (let₀ 6))
    (let¹ ((tmp¹ let₀))
      (set!¹ let₀ set!₀)
      (set!¹ set!₀ tmp¹))))
```

# Precise Rules for Expansion and Binding

```
(let ((set! 5)           ⇒ (let ((set!₀ 5)
          (let 6)) )                  (let₀ 6)) )
(swap set! let))           (swap set!₀ let₀)) )

⇒ (let ((set!₀ 5)           ⇒ (let ((set!₀ 5)
          (let₀ 6)) )                  (let₀ 6)) )
(let¹ ((tmp¹ let₀)) )      (let¹ ((tmp₂ let₀)) )
(set!¹ let₀ set!₀)          (set!¹ let₀ set!₀)
(set!¹ set!₀ tmp¹)))       (set!¹ set!₀ tmp₂)))
```

Superscript does not count as a rename, so `let` and `let1` refer to the usual `let`

## Local Macros

```
(define (run-clock get put! )
  (define-get/put-id clock get put! )
  (set! clock (add1 clock)) )
```

⇒ ⇒

```
(define (run-clock get0 put!0)
  (define-get/put-id clock1 get0 put!0)
  (set! clock1 (add1 clock1)) )
```

⇒ ⇒

```
(define (run-clock get0 put!0)
  (define-get/put-id clock1 get0 put!0)
  (put02 (add1 (get03)))) )
```

# Local Macros

```
(define (run-clock get put! )
  (define-get/put-id clock get put! )
  (let ((get ()))
    (set! clock (get clock))
  ))
```

⇒

```
(define (run-clock get0 put!0)
  (define-get/put-id clock1 get0 put!0)
  (let ((get0 ()))
    (set! clock1 (get0 clock1))
  ))
```

## Local Macros

```
(define (run-clock get0 put!0)
  (define-get/put-id clock1 get0 put!0)
    (let ((get0 ))
      (set! clock1 (get0 clock1)))
    )
  )
```

⇒

```
(define (run-clock get0 put!0)
  (define-get/put-id clock1 get0 put!0)
    (let ((get2 ))
      (set! clock1 (get2 clock1)))
    )
  )
```

## Local Macros

```
(define (run-clock get0 put!0)
  (define-get/put-id clock1 get0 put!0)
    (let ((get2 ))
      (set! clock1 (get2 clock1)))
    )
  )
```

⇒ ⇒

```
(define (run-clock get0 put!0)
  (define-get/put-id clock1 get0 put!0)
    (let ((get2 ))
      (put!03 (get2 (get04)))
    )
  )
```

# General Strategy Summarized

While expanding

- Primitive binding form:
  - Change subscript in scope for matching names, subscript, and superscripts
- When looking for binders of a use:
  - Check for matching name and subscript, only
- After expanding a macro use:
  - Add a superscript to introduced identifiers  
(macro-generating macros can stack superscripts)

# Terminology

Avoid capture *by* introduced: ***hygiene***

Avoid capture *of* introduced: ***referential transparency***

Together  $\Rightarrow$  ***lexical scope***

Lexically scoped macros play nice together

- Macros In General
- Pattern-Based Macros
- Extended Example
- Lexical Scope
- General Transformers
  - Beyond patterns and templates
- State of the Art

# Transformer Definitions

In general, **define-syntax** binds a transformer procedure

```
(define-syntax swap
  (lambda (stx)
    ))
```

Argument to transformer is a ***syntax object***: like an S-expression, but with context info

# Primitives for Transformers

Primitives deconstruct and construct syntax objects:

(**stx-car** *stx*) -> *stx*

(**stx-cdr** *stx*) -> *stx*

(**stx-pair?** *stx*) -> *bool*

(**identifier?** *stx*) -> *bool*

(**quote-syntax** *datum*) -> *stx*

(**bound-identifier=?** *stx1 stx2*) -> *bool*

(**free-identifier=?** *stx1 stx2*) -> *bool*

(**datum->syntax-object** *stx v*) -> *stx*

# Syntax-Rules as a Transformer

`syntax-rules` is actually a macro

```
(define-syntax swap  
  (syntax-rules . . . . .))
```

⇒

```
(define-syntax swap  
  (lambda (stx)  
    use transformer primitives to  
    match stx and generate result  
  ))
```

# Pattern-Matching Syntax and Having It, Too

The **syntax-case** and #' forms combine patterns and arbitrary computation

```
(syntax-case stx-expr ( )
  (pattern result-expr)
  ...
  (pattern result-expr))

#'template
```

**syntax-case** and #' work anywhere

- useful for sub-expression matches

# Pattern-Matching Syntax and Having It, Too

Actually, `syntax-rules` is implemented in terms of `syntax-case`

```
(define-syntax swap
  (syntax-rules ()
    ((swap a b) (let ((tmp b))
                  (set! b a)
                  (set! a tmp)))))
```

⇒

```
(define-syntax swap
  (lambda (stx)
    (syntax-case stx ()
      ((swap1 a b) #'(let ((tmp b))
                           (set! b a)
                           (set! a tmp))))))
```

# Syntax-Case for a Better Swap Macro

Check for identifiers before expanding:

```
(define-syntax swap
  (lambda (stx)
    (syntax-case stx ()
      ((_ a b)
       (if (and (identifier? #'a)
                 (identifier? #'b))
           #'(let ((tmp b))
               (set! b a)
               (set! a tmp)))
         (raise-syntax-error
          'swap "needs identifiers"
          stx)))))))
```

# Syntax-case for a Better Call-by-Ref Macro

Use `generate-temporaries` to produce a list ids:

```
(define-syntax (define-for-cbr stx)
  (syntax-case stx ()
    ((_ id (arg ...) body)
     (with-syntax (((get ...)
                   (generate-temporaries #'(arg ...)))
                  ((put ...)
                   (generate-temporaries #'(arg ...))))
      #'(define (do-f get ... put ...)
          (define-get/put-id id get put) ...
          body))))))
```

- **Macros In General**
- **Pattern-Based Macros**
- **Extended Example**
- **Lexical Scope**
- **General Transformers**
- **State of the Art**
  - Scheme's present and near future

# Scheme Today

- Standard Scheme (R5RS) provides only **syntax-rules**
- Most implementations also provide **syntax-case**
  - Public expander implementation in R5RS
  - Syntax-object primitives vary
  - Separation of compile-time and run-time code varies greatly
- Some implementations support identifier macros

Code in these slides is somewhat specific to PLT Scheme...

# Slide Language

... actually, it's PLT Scheme plus

```
(define-syntax syntax-id-rules
  (syntax-rules ()
    ((_ kws (pat tmpl) ...))
    (make-set!-transformer
      (lambda (stx)
        (syntax-case stx kws
          (pat #'tmpl)
          ...))))))
```

in a **module** loaded with **require-for-syntax**

# Scheme in the Future

There's no one Scheme

or

Scheme is a language for defining practical languages

- Standardized language-declaration syntax may be the way to tame implementation differences
- In DrX, we intend to push the limits of these ideas

## References, Abridged

<b>hygiene</b>	Kohlbecker, Friedman, Felleisen, and Duba "Hygienic Macro Expansion" LFP 1986
<b>patterns</b>	Clinger and Rees "Macros That Work" POPL 1991
<b>lexical scope</b>	Dybvig, Hieb, and Bruggeman "Syntactic Abstraction in Scheme" <i>Lisp and Symbolic Computation</i> 1993
<b>splicing scope</b>	Waddell and Dybvig "Extending the Scope of Syntactic Abstraction" POPL 1999
<b>phases</b>	Flatt "Composable and Compilable Macros" ICFP 2002